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Substitute for form 1449A/PTO		Complete If Known		
<b>FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/551,054	
		Filing Date	§371 (c) Date: September 23, 2005	
		Inventor	BACHMANN, Martin F.	
		Art Unit	1648	
		Examiner Name	MOSHER, Mary	
Sheet	1	of	Attorney Docket Number	1700.0590000/BJD/WBC

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If Known)			
	AC3	US- 5,962,636	10/05/1999	Bachmaier <i>et al.</i>	
	AD3	US- 2001/0044416 A1	11/22/2001	McCluskie <i>et al.</i>	
	AE3	US- 2003/0060440 A1	03/27/2003	Klinman <i>et al.</i>	
	AF3	US- 2003/0087848 A1	05/08/2003	Bratzler <i>et al.</i>	
	AG3	US- 6,949,520 B1	09/27/2005	Hartmann <i>et al.</i>	
	AH3	US- 2005/0169888 A1	08/04/2005	Hartmann <i>et al.</i>	
	AI3	US- 2006/0286070 A1	12/21/2006	Hartmann <i>et al.</i>	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
		Country Code <sup>4</sup> Kind Code <sup>5</sup> (in known)				
	AO9	EP 0 468 520 A2	01/29/1992	Tokunaga <i>et al.</i>		
	AP9	WO 92/11291 A1	07/09/1992	Van Wijnendale <i>et al.</i>		
	AL10	WO 94/02499 A1	02/03/1994	Padmapriya <i>et al.</i>		
	AM10	WO 95/26204 A1	10/05/1995	Hutcherson <i>et al.</i>		
	AN10	WO 96/02555 A1	02/01/1996	Krieg		
	AO10	EP 0 772 619 B1	05/14/1997	Krieg <i>et al.</i>		

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<b>Examiner Name</b>	MOSHER, Mary
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Sheet	4	of	4
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	AM13	WO 02/10416 A1	02/07/2002	Gowans <i>et al.</i>		
	AN13	WO 02/056905 A2	07/25/2002	Renner <i>et al.</i>		
	AO13	WO 03/030656 A2	04/17/2003	Babiuk <i>et al.</i>		
	AP13	WO 03/040308 A2	05/15/2003	Klinman <i>et al.</i>		
	AL14	WO 03/045431 A2	06/05/2003	Vicari <i>et al.</i>		
	AM14	WO2005/014110 A1	02/17/2005	Bachmann <i>et al.</i>		

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL84	Ballas, Z.K., <i>et al.</i> , "Induction of NK Activity in Murine and Human Cells by CpG Motifs in Oligodeoxynucleotides and Bacterial DNA," <i>J Immunol</i> 157(5):1840-5, The American Association of Immunologists, Inc. (1996)	
	NPL85	Bartholomé, E.J., <i>et al.</i> , "IFN-β Interferes with the Differentiation of Dendritic Cells from Peripheral Blood Mononuclear Cells: Selective Inhibition of CD40-Dependent Interleukin-12 Secretion," <i>J Interferon Cytokine Res</i> 19(5):471-8, Mary Ann Liebert, Inc. (1999)	
	NPL86	Blackwell, S.E., and Krieg, A.M., "CpG-A-Induced Monocyte IFN-γ-Inducible Protein-10 Production is Regulated by Plasmacytoid Dendritic Cell-derived IFN-α," <i>J Immunol.</i> 170(8):4061-8, The American Association of Immunologists Inc. (April 2003)	
	NPL87	Branda, R.F., <i>et al.</i> , "Amplification of antibody production by phosphorothioate oligodeoxynucleotides," <i>J Lab Clin Med</i> 128(3):329-38, Mosby-Year Book Inc. (1996)	
	NPL88	Cella, M., <i>et al.</i> , "Maturation, activation, and protection of dendritic cells induced by double-stranded RNA," <i>J Exp Med</i> 189(5):821-9, The Rockefeller University Press (1999)	
	NPL89	Cella, M., <i>et al.</i> , "Plasmacytoid monocytes migrate to inflamed lymph nodes and produce large amounts of type I interferon," <i>Nat Med</i> 5(8):919-23, Nature America Inc. (1999)	
	NPL90	Clark, B., <i>et al.</i> , "Immunity against both polyomavirus VP1 and a transgene product induced following intranasal delivery of VP1 pseudocapsid-DNA complexes," <i>J. Gen. Virol.</i> 82:2791-2797, Society for General Microbiology (2001)	
	NPL91	Dalpe, A.H., <i>et al.</i> , "Phosphodiester CpG oligonucleotides as adjuvants: polyguanosine runs enhance cellular uptake and improve immunostimulative activity of phosphodiester CpG oligonucleotides <i>in vitro</i> and <i>in vivo</i> ," <i>Immunology</i> 106(1):102-12, Blackwell Science Ltd. (May 2002)	
	NPL92	Goeckeritz, B.E., <i>et al.</i> , "Multivalent cross-linking of membrane Ig sensitizes murine B cells to a broader spectrum of CpG-containing oligodeoxynucleotide motifs, including their methylated counterparts, for stimulation of proliferation and Ig secretion," <i>Int Immunol</i> 11(10):1693-700, Oxford University Press (1999)	
	NPL93	Halperin, S.A., <i>et al.</i> , "A phase I study of the safety and immunogenicity of recombinant hepatitis B surface antigen co-administered with an immunostimulatory phosphorothioate oligonucleotide adjuvant," <i>Vaccine</i> 21(19-20):2461-7, Elsevier Science Ltd. (June 2003)	

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			Examiner Name	MOSHER, Mary	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL94	Halpern, M.D., <i>et al.</i> , "Bacterial DNA Induces Murine Interferon- $\gamma$ Production by Stimulation of Interleukin-12 and Tumor Necrosis Factor- $\alpha$ ," <i>Cell Immunol</i> 167(1):72-8, Academic Press Inc. (1996)	
	NPL95	Hartmann, G., <i>et al.</i> , "CpG DNA: A potent signal for growth, activation, and maturation of human dendritic cells," <i>Proc Natl Acad Sci U S A</i> 96(16):9305-10, National Academy of Sciences (1999)	
	NPL96	Heath, A.W., "Cytokines and the Rational Choice of Immunological Adjuvants," <i>Cancer Biother</i> 9(1):1-6, Mary Ann Liebert, Inc., Publishers (1994)	
	NPL97	Iho, S., <i>et al.</i> , "Oligodeoxynucleotides Containing Palindrome Sequences with Internal 5'-CpG-3' Act Directly on Human NK and Activated T Cells to Induce IFN- $\gamma$ Production In Vitro," <i>J Immunol</i> 163(7):3642-52, The American Association of Immunologists (1999)	
	NPL98	Ioannou, X.P., <i>et al.</i> , "CpG-containing oligodeoxynucleotides, in combination with conventional adjuvants, enhance the magnitude and change the bias of the immune responses to a herpesvirus glycoprotein," <i>Vaccine</i> 21(1-2):127-37, Elsevier Science (November 2002)	
	NPL99	Kerkmann, M., <i>et al.</i> , "Activation with CpG-A and CpG-B Oligonucleotides Reveals Two Distinct Regulatory Pathways of Type I IFN Synthesis in Human Plasmacytoid Dendritic Cells," <i>J Immunol</i> . 170(9):4465-74, The American Association of Immunologists Inc (May 2003)	
	NPL100	Kline, J.N., <i>et al.</i> , "Modulation of Airway Inflammation by CpG Oligodeoxynucleotides in a Murine Model of Asthma," <i>J Immunol</i> 160(6):2555-9, The American Association of Immunologists (1998)	
	NPL101	Kline, J.N., <i>et al.</i> , "Treatment of established asthma in a murine model using CpG oligodeoxynucleotides," <i>Am J Physiol Lung Cell Mol Physiol</i> 283(1):L170-9, American Physiological Society (July 2002)	
	NPL102	Klinman, D.M., "Immunotherapeutic Uses of CpG Oligodeoxynucleotides," <i>Nat Rev Immunol</i> 4(4):249-58, Nature Publishing Group (April 2004)	
	NPL103	Klinman, D.M., <i>et al.</i> , "CpG motifs present in bacterial DNA rapidly induce lymphocytes to secrete interleukin 6, interleukin 12, and interferon $\gamma$ ," <i>Proc Natl Acad Sci U S A</i> 93(7):2879-83, National Academy of Sciences (1996)	

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	NPL104	Klinman, D.M., <i>et al.</i> , "CpG oligonucleotides improve the protective immune response induced by the anthrax vaccination of rhesus macaques," <i>Vaccine</i> 22(21-22):2881-6, Elsevier Ltd. (July 2004)	
	NPL105	Krieg, A.M., "CpG Motifs in Bacterial DNA and Their Immune Effects," <i>Annu Rev Immunol</i> 20:709-60, Annual Reviews (April 2002)	
	NPL106	Krieg, A.M., "Mechanisms and applications of immune stimulatory CpG oligodeoxynucleotides," <i>Biochim Biophys Acta</i> 1489(1):107-16, Elsevier Science (1999)	
	NPL107	Krieg, A.M. and Davis, H.L., "Enhancing vaccines with immune stimulatory CpG DNA," <i>Curr Opin Mol Ther</i> 3(1):15-24, Thomson Scientific (2001)	
	NPL108	Krieg, A.M., <i>et al.</i> , "CpG motifs in bacterial DNA trigger direct B-cell activation," <i>Nature</i> 374(6522):546-9, Nature Publishing Group (1995)	
	NPL109	Krieg, A.M., <i>et al.</i> , "Oligodeoxynucleotide Modifications Determine the Magnitude of B Cell Stimulation by CpG Motifs," <i>Antisens &amp; Nucleic Acid Drug Dev</i> 6(2):133-9, Mary Ann Liebert, Inc. (1996)	
	NPL110	Krug, A., <i>et al.</i> , "CpG-A Oligonucleotides Induce a Monocyte-Derived Dendritic Cell-Like Phenotype that Preferentially Activates CD8 T Cells," <i>J Immunol.</i> 170(7):3468-77, The American Association of Immunologists, Inc. (April 2003)	
	NPL111	Lee, S.W., <i>et al.</i> , "Effects of a Hexameric Deoxyriboguanosine Run Conjugation into CpG Oligodeoxynucleotides on Their Immunostimulatory Potentials," <i>J Immunol</i> 165(7):3631-9, The American Association of Immunologists (2000)	
	NPL112	Leibl, H., <i>et al.</i> , "Adjuvant/carrier activity of inactivated tick-borne encephalitis virus," <i>Vaccine</i> 16(4):340-5, Elsevier Science Ltd. (1998)	
	NPL113	Liu, H.M., <i>et al.</i> , "Immunostimulatory CpG Oligodeoxynucleotides Enhance the Immune Response to Vaccine Strategies Involving Granulocyte-Macrophage Colony-Stimulating Factor," <i>Blood</i> 92(10):3730-6, The American Society of Hematology (1998)	

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	NPL114	Mahon, B.P., <i>et al.</i> , "The Rational Design of Vaccine Adjuvants for Mucosal and Neonatal Immunization," <i>Curr Med Chem</i> 8(9):1057-75, Bentham Science Publishers (2001)		
	NPL115	Pisetsky, D.S. and Reich, C.F., "Stimulation of Murine Lymphocyte Proliferation by a Phosphorothioate Oligonucleotide with Antisense Activity for Herpes Simplex Virus," <i>Life Sci</i> 54(2):101-7, Pergamon Press (1994)		
	NPL116	Putney, S.D., <i>et al.</i> "Enhanced Anti-Tumor Effects with Microencapsulated <i>c-myc</i> Antisense Oligonucleotide," <i>Antisense Nucleic Acid Drug Dev.</i> 9:451-8, Mary Ann Liebert Inc. (1999)		
	NPL117	Raz, E., "Introduction: gene vaccination, current concepts and future directions," <i>Springer Semin Immunopathol</i> 19(2):131-7, Springer-Verlag (1997)		
	NPL118	Raz, E., <i>et al.</i> , "Preferential induction of a Th <sub>1</sub> immune response and inhibition of specific IgE antibody formation by plasmid DNA immunization," <i>Proc Natl Acad Sci USA</i> 93(10):5141-5, National Academy of Sciences (1996)		
	NPL119	Sato, Y., <i>et al.</i> , "Immunostimulatory DNA Sequences Necessary for Effective Intradermal Gene Immunization," <i>Science</i> 273(5273):352-4, American Association for the Advancement of Science (1996)		
	NPL120	Schwarz, K., <i>et al.</i> , "Role of Toll-Like receptors in costimulating cytotoxic T cell responses," <i>Eur. J. Immunol.</i> 33:1465-70, WILEY-VCH Verlag (June 2003)		
	NPL121	Semple, S.C., <i>et al.</i> , "Lipid-Based Formulations of Antisense Oligonucleotides for Systemic Delivery Applications," <i>Methods Enzymol.</i> 313:322-41, Academic Press (2000)		
	NPL122	Siegal, F.P., <i>et al.</i> , "The Nature of the Principal Type 1 Interferon-Producing Cells in Human Blood," <i>Science</i> 284(5421):1835-7, American Association for the Advancement of Science (1999)		
	NPL123	Takauji, R., <i>et al.</i> , "CpG-DNA-induced IFN- $\alpha$ production involves p38 MAPK-dependent STAT1 phosphorylation in human plasmacytoid dendritic cell precursors," <i>J. Leukoc. Biol.</i> 72:1011-1019, Wiley-Liss (November 2002)		

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Sheet	5	of	6	Attorney Docket Number	1700.0590000/BJD/WBC

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL124	Uhlmann, E. and Vollmer, J., "Recent advances in the development of immunostimulatory oligonucleotides," <i>Curr Opin Drug Discov Devel</i> 6(2):204-17, Thomson Scientific (March 2003)	
	NPL125	Van Ojik, H., <i>et al.</i> , "Phase I/II study with CpG 7909 as adjuvant to vaccination with MAGE-3 protein in patients with MAGE-3 positive tumors," <i>Ann. Oncol.</i> 13:157-158, Abstract No. 579O, Oxford University Press (October 2002)	
	NPL126	Verthelyi, D., <i>et al.</i> , "CpG oligodeoxynucleotides improve the response to hepatitis B immunization in healthy and SIV-infected rhesus macaques," <i>AIDS</i> 18(7):1003-8, Lippincott Williams & Wilkins (April 2004)	
	NPL127	Verthelyi, D., <i>et al.</i> , "Human Peripheral Blood Cells Differentially Recognize and Respond to Two Distinct CPG Motifs," <i>J Immunol</i> 166(4):2372-7, The American Association of Immunologists (2001)	
	NPL128	Vollmer, J., <i>et al.</i> , "Characterization of three CpG oligodeoxynucleotide classes with distinct immunostimulatory activities," <i>Eur. J. Immunol.</i> 34:251-262, WILEY-VCH Verlag (January 2004)	
	NPL129	Vrtala, S., <i>et al.</i> , "Immunization with Purified Natural and Recombinant Allergens Induces Mouse IgG1 Antibodies That Recognize Similar Epitopes as Human IgE and Inhibit the Human IgE-Allergen Interaction and Allergen-Induced Basophil Degranulation," <i>J. Immunol.</i> 160:6137-6144, The American Association of Immunologists, Inc. (1998)	
	NPL130	Weiner, G., "Declaration of Dr. George Weiner Under 37 CFR §1.32," submitted in support of US Application No. 09/286,098, 9 pages (2000)	
	NPL131	Weiner, G.J., <i>et al.</i> , "Immunostimulatory oligodeoxynucleotides containing the CpG motif are effective as immune adjuvants in tumor antigen immunization," <i>Proc Natl Acad Sci USA</i> 94(20):10833-7, National Academy of Sciences (1997)	
	NPL132	Yamamoto, T., <i>et al.</i> , "Ability of Oligonucleotides with Certain Palindromes to Induce Interferon Production and Augment Natural Killer Cell Activity is Associated with Their Base Length," <i>Antisense Res Dev</i> 4(2):119-22, Mary Ann Liebert Inc. (1994)	
	NPL133	Yamamoto, T., <i>et al.</i> , "Synthetic oligonucleotides with certain palindromes stimulate interferon production of human peripheral blood lymphocytes <i>in vitro</i> ," <i>Jpn J Cancer Res</i> 85(8):775-9, Japanese Cancer Association (1994)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M PEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Application Number	10/551,054
				Filing Date	§371 (c) Date: September 23, 2005
				First Named Inventor	BACHMANN, Martin F.
				Art Unit	1648
				Examiner Name	MOSHER, Mary
Sheet	6	of	6	Attorney Docket Number	1700.0590000/BJD/WBC

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	NPL134	Yu, D., <i>et al.</i> , "Potent CpG oligonucleotides containing phosphodiester linkages: in vitro and in vivo immunostimulatory properties," <i>Biochem Biophys Res Commun</i> 297(1):83-90, Academic Press (September 2002)	

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